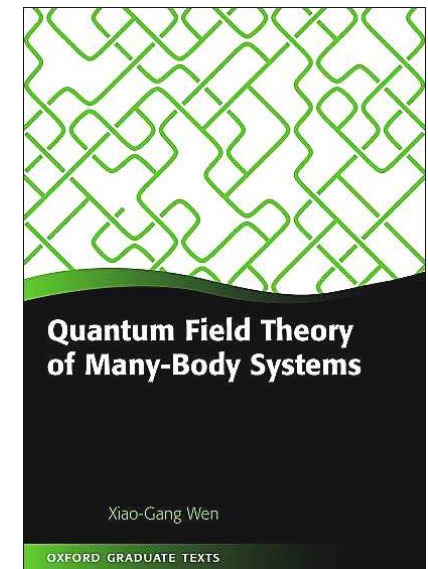


An origin of light and electrons – a unification of gauge interaction and Fermi statistics

Michael Levin and Xiao-Gang Wen

<http://dao.mit.edu/~wen>

- Artificial light and quantum orders ...
PRB **68** 115413 (2003)
- Fermions, strings, and gauge fields ...
PRB **67** 245316 (2003)
- Strings-net condensation ...
PRB **71** 045110 (2005)
- *Quantum field theory of many-body systems*
(Oxford Univ. Press, 2004)



Deep mysteries of nature

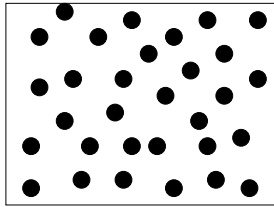
- Identical particles (Why two hydrogen atoms are exactly the same?)
- Gauge interactions (long range, massless gauge bosons)
- Fermi Statistics (Who ordered it?)
- Massless fermions (nearly, $M_f/M_P \sim 10^{-20}$)
- Chiral fermions (Are we edge excitations?)
- Gravity (The correct physical theory allows only integers)

**A great-grand unification:
a single structure that explains all the mysteries**

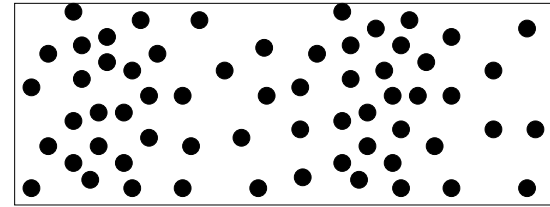
We will discuss a baby-grand unification that explains the first four mysteries from a single structure – local bosonic model.

Where do Maxwell equation and Dirac equation come from?

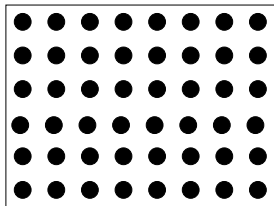
- Euler equation: $\partial_t^2 \rho - v^2 \partial_i^2 \rho = 0 \rightarrow$ massless scalar identical bosons



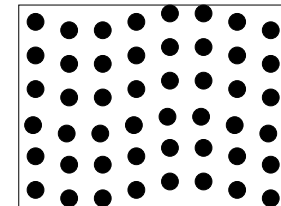
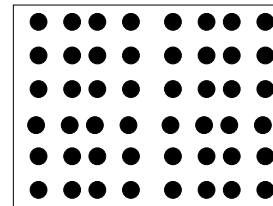
superfluid \rightarrow density fluctuations



- Navier equation: $\partial_t^2 u^i - T_m^{ijk} \partial_j \partial_k u^m = 0 \rightarrow$ phonons (identical bosons)

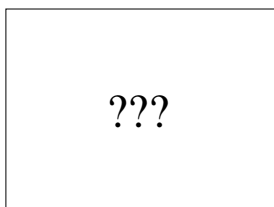


crystal \rightarrow lattice fluctuations



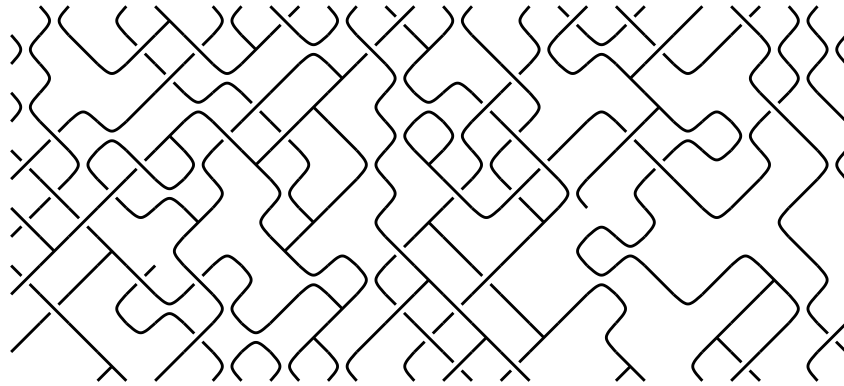
Identical particles \rightarrow vacuum is not empty

- Maxwell equation: $\partial \times \mathbf{E} + \partial_t \mathbf{B} = \partial \times \mathbf{B} - \partial_t \mathbf{E} = 0 \rightarrow$ photons



- Dirac equation: $(\gamma^\mu \partial_\mu - m)\psi = 0 \rightarrow$ fermions

- Both Maxwell equation and Dirac equation can come from local bosonic models or lattice spin models if bosons/spin (a) form Long strings and (b) strings from a quantum liquid (string-net condensed state):

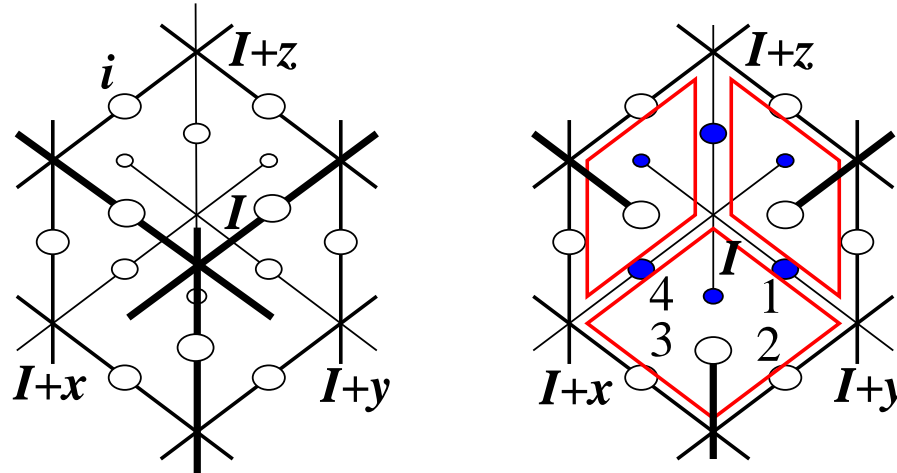


Gauge bosons and fermions can emerge as low energy collective modes of the condensed string-nets

String-net condensation provides a way to unify gauge interactions and Fermi statistics

The appearance of the gauge interaction and Fermi statistics in our nature is not an accident.

A local bosonic model on cubic lattice



A rotor θ_i on every link of the cubic lattice:

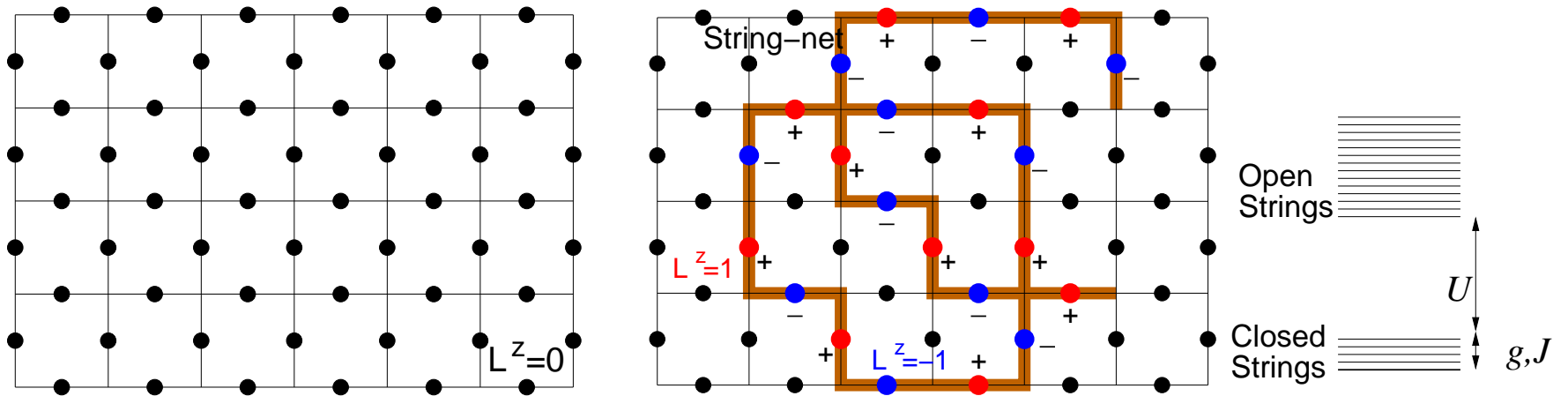
$$H = U \sum_{\mathbf{I}} Q_{\mathbf{I}}^2 - g \sum_{\mathbf{p}} (B_{\mathbf{p}} + h.c.) + J \sum_{\mathbf{i}} (L_{\mathbf{i}}^z)^2$$

$$Q_{\mathbf{I}} = \sum_{\mathbf{i} \text{ next to } \mathbf{I}} L_{\mathbf{i}}^z, \quad B_{\mathbf{p}} = L_1^+ L_2^- L_3^+ L_4^-$$

$L^z = i\partial_{\theta}$: the angular momentum of the rotor

$L^{\pm} = e^{\pm i\theta}$: the raising/lowering operators of L^z

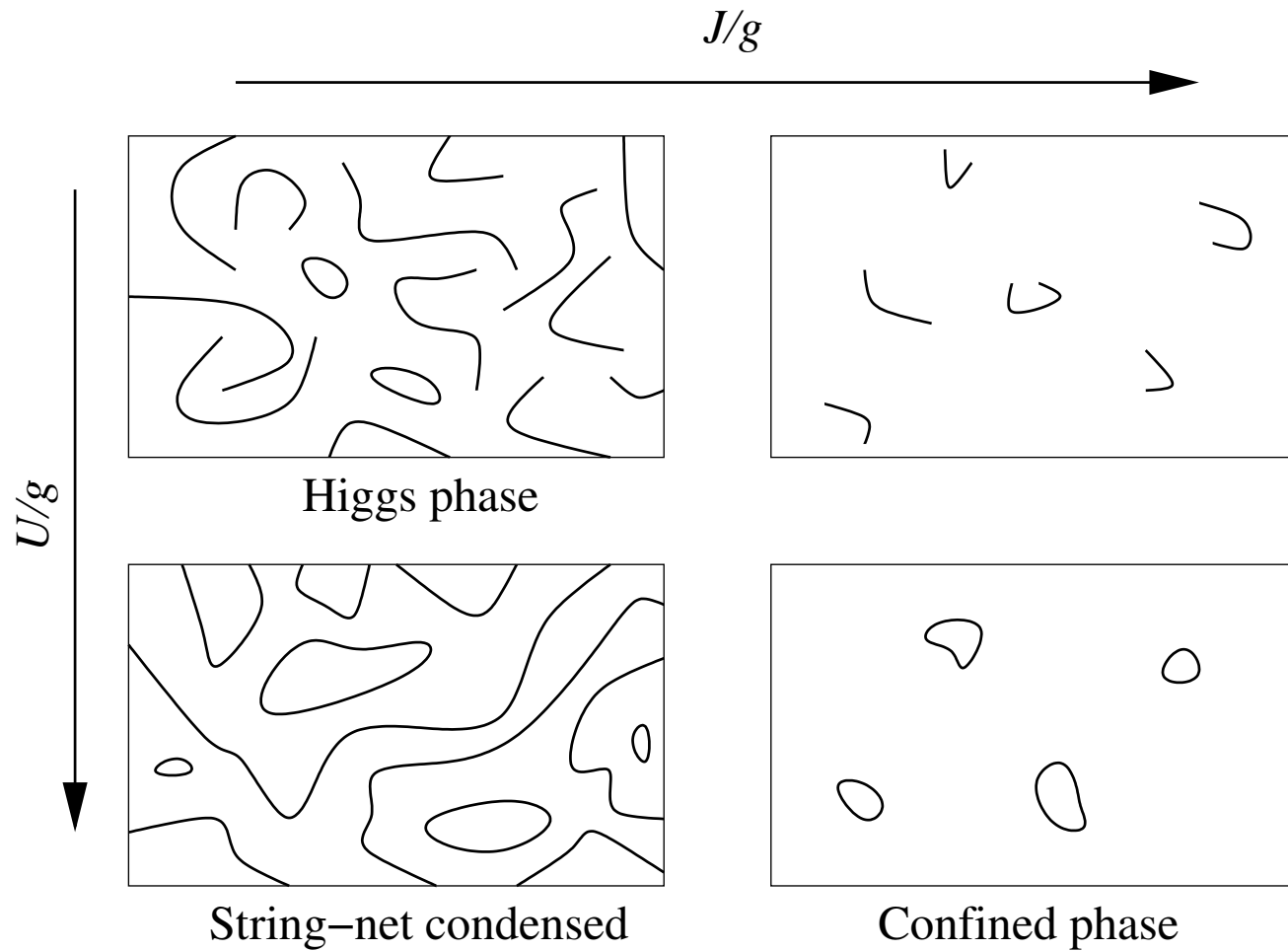
What is string-net



Physical meaning of the three terms:

- the U -term \rightarrow closed strings. Open ends cost energy.
- J -term \rightarrow string tension
- the g -term \rightarrow strings can fluctuate

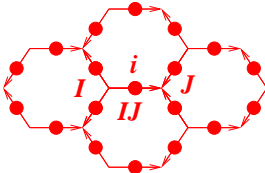
What is string-net condensation



$$|\text{string-net condensed}\rangle = \sum_{\text{all closed-string-nets}} |\text{string-net}\rangle$$

Fluctuations of condensed string-nets = $U(1)$ gauge bosons

- When $U = \infty$, the rotor model can be mapped to $U(1)$ lattice gauge model, with θ_i on link \mathbf{IJ} as the $U(1)$ gauge potential:

$$\theta_i = a_{\mathbf{IJ}},$$
A diagram showing a central lattice site labeled 'i'. Three links are highlighted: link 'I' to the left, link 'J' to the right, and link 'IJ' pointing downwards. The links are represented by red lines with dots at the vertices.

- For finite U and with other perturbations:

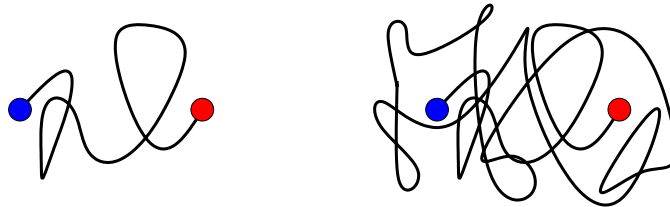
$$L_{\text{eff}} = L_{U(1)}(a_{\mathbf{IJ}}) + \epsilon_1 a_0^2 + \epsilon_2 \cos(a_{\mathbf{IJ}})$$

Since $a_{\mathbf{IJ}} = \theta_i$ is compact, the ϵ -terms do not generate a mass for the $U(1)$ gauge bosons, if $\epsilon_{1,2}$ are small enough.

Gauge bosons and “gauge symmetry” can be emergent

Ends of open strings = gauge charges

- Strings are unobservable in string condensed state.
- Ends of strings behave like independent particles.

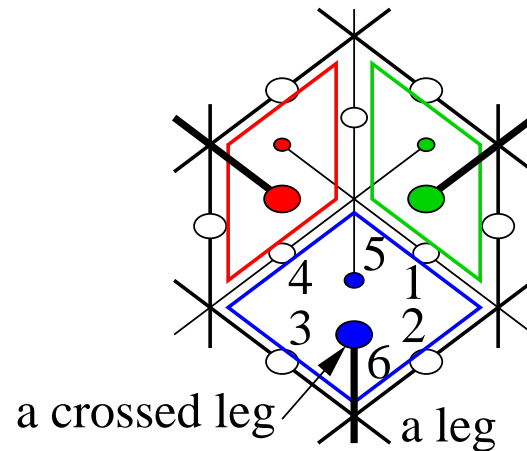


- $L_i^z \sim \theta_i = \dot{a}_{\mathbf{IJ}}$ correspond to electric field/flux.

Ends of condensed strings are gauge charges

They also carry fractional rotor-angular momentum ($L^z = \pm 1/2$)

Can get fermions for free (almost) Levin & Wen 04



Just add some legs

- Dressed-string model:

$$H = U \sum_{\mathbf{I}} Q_{\mathbf{I}} - g \sum_{\mathbf{p}} (B_{\mathbf{p}} + h.c.) + J \sum_{\mathbf{i}} (L_{\mathbf{i}}^z)^2$$

$$B_{\mathbf{p}} = L_1^+ L_2^- L_3^+ L_4^- (-1)^{L_5^z + L_6^z}$$

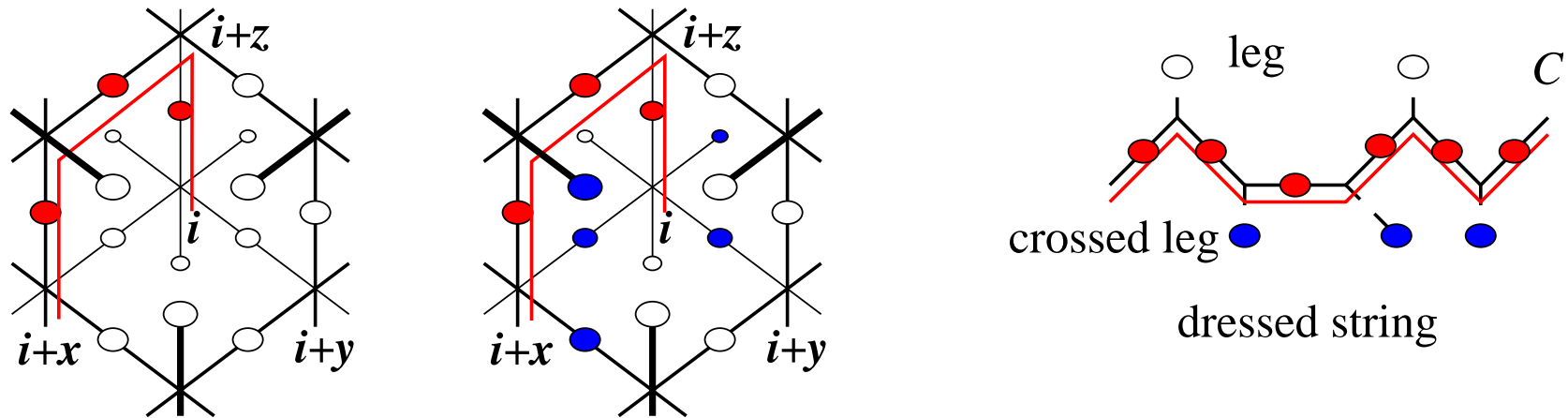
- Different ground state wave function for the string-net condensed state

$$|\text{string-net condensed}\rangle = \sum_{\text{all closed-string-nets}} \pm |\text{string-net}\rangle$$

which leads to different statistics for the ends of condensed strings.

String operators – creation operators of gauge charges

- A pair of gauge charges is created by an open string operator which commutes with the Hamiltonian except at its two ends. Strings cost no energy and is unobservable.



- In simple-string model – simple-string operator

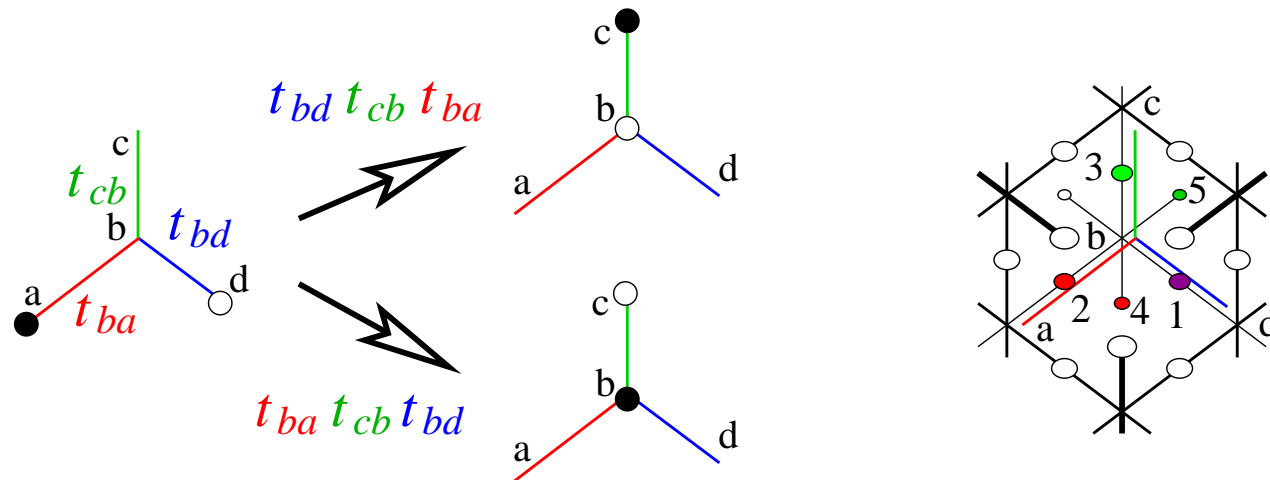
$$L_{i_1}^+ L_{i_2}^- L_{i_3}^+ L_{i_4}^- \dots$$

- In dressed-string model – dressed-string operator

$$(L_{i_1}^+ L_{i_2}^- L_{i_3}^+ L_{i_4}^- \dots) \prod_{i \text{ on crossed legs of } C} (-1)^{L_i^z}$$

A dressed-string operator creates a pair of fermions

- The statistics is determined by particle hopping operators Levin & Wen 02:



- An open string operator is a hopping operator of the gauge charges. Open string operator determine the statistics.
- For simple-string model: $\hat{t}_{ba} = L_2^+$, $\hat{t}_{cb} = L_3^-$, $\hat{t}_{bd} = L_1^+$
We find $\hat{t}_{bd}\hat{t}_{cb}\hat{t}_{ba} = \hat{t}_{ba}\hat{t}_{cb}\hat{t}_{bd}$
The ends of simple-string are bosons.
- For dressed-string model: $\hat{t}_{ba} = (-)^{L_4^z + L_1^z} L_2^+$, $\hat{t}_{cb} = (-)^{L_5^z} L_3^-$, $\hat{t}_{bd} = L_1^+$
We find $\hat{t}_{bd}\hat{t}_{cb}\hat{t}_{ba} = -\hat{t}_{ba}\hat{t}_{cb}\hat{t}_{bd}$
The ends of dressed-string are fermions.

What make fermions massless?

- Consider the hopping Hamiltonian for a *single* end of string

$$\hat{H} = \sum_{ij} (\hat{t}_{ij} + h.c.)$$

\hat{H} may realize translation symmetry only projectively.

- The translation $\hat{T}_a^{(2)}$ of the *two* ends of a string satisfies the translation algebra

$$\hat{T}_a^{(2)} \hat{T}_b^{(2)} = \hat{T}_b^{(2)} \hat{T}_a^{(2)}, \quad \mathbf{a}, \mathbf{b} = \mathbf{x}, \mathbf{y}, \mathbf{z}$$

The translation \hat{T}_a of the *one* ends of a string satisfies

$$\hat{T}_a \hat{T}_b = \eta \hat{T}_b \hat{T}_a, \quad \eta = \pm 1$$

- $\eta = -1 \rightarrow \pi$ -flux through each square \rightarrow massless fermions
- The string-net wave function $\Phi(X) = (-1)^{N_X}$ given rise to the π -flux, where N_X = number of squares enclosed by the closed string X .

Comparison with superstring theory

Superstring theory

- gauge boson = small open string of size l_P .
 - fermion comes from “super world sheet” $(\sigma^1, \sigma^2, \theta^\alpha)$.
 - graviton = small closed string of size l_P .
- Fermions do not have to carry gauge charges.*

String-net theory

Every thing comes from local bosonic model — **locality principle**

1. $\mathcal{H}_{tot} = \mathcal{H}_i \otimes \mathcal{H}_j \otimes \dots$
 2. Local operator = operators acting within \mathcal{H}_i .
 3. Hamiltonian = sum of local operators.
- gauge boson = fluctuations of large string-nets that fill the space.
 - fermion = one end of open string.
 - graviton = ???.
- Fermions (including composite fermions) must carry gauge charges.*

- 123 standard model is inconsistent with the locality principle.
- $SU(5)$ GUT is inconsistent with the locality principle.
But can be fixed by including additional discrete (say Z_2) gauge theory.
Prediction, cosmic string associated with the discrete gauge theory.
- $SO(10)$ GUT can be consistent with the locality principle.

Summary

- Gauge interaction and Fermi statistics are just phenomena of quantum interference in infinity dimension – many-body quantum entanglements.
- No need to introduce gauge bosons and fermions by hand. They just emerge if our vacuum has a string-net condensation.
- Constructed spin model on cubic lattice that reproduce QED and QCD Wen 03.
They are the $U(1)$ and the $SU(3)$ in the $U(1) \times SU(2) \times SU(3)$ standard model.
But ... have trouble to get the chiral coupling of the $SU(2)$.

Six fascinating properties of nature:

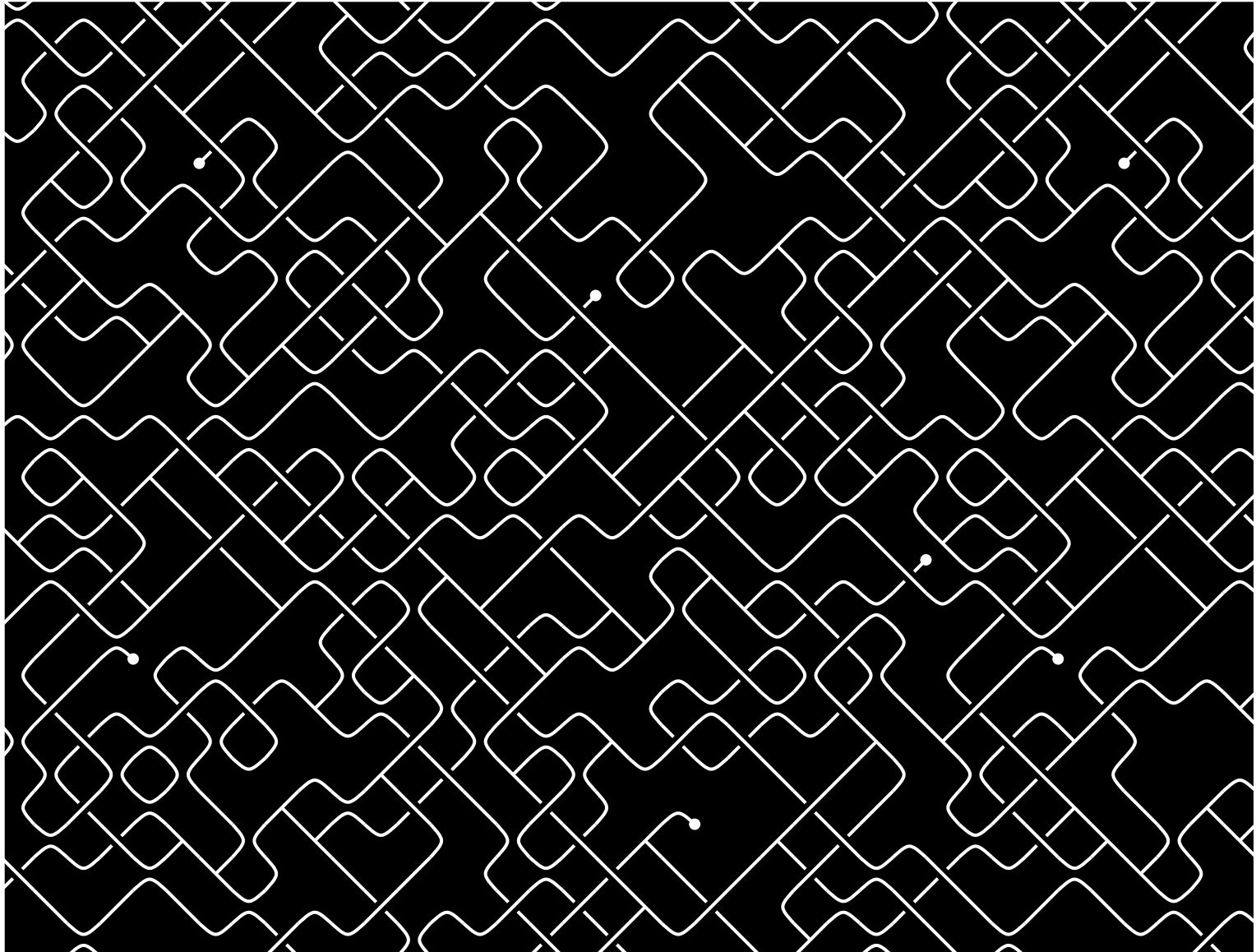
- Identical particles
- Fermi statistics
- Chiral fermions
- Gauge interaction
- Massless fermions
- Gravity

The string-net condensation picture can explain four of them.

Four down and two more to go!

A picture of our vacuum

- a recipe for making an artificial vacuum in condensed matter



A picture of our vacuum

A string-net theory of light and electrons

General string-net condensed wave functions Levin & Wen 04

Too hard to describe $\Phi(X) \neq \text{const.}$ directly.

Indirect description:

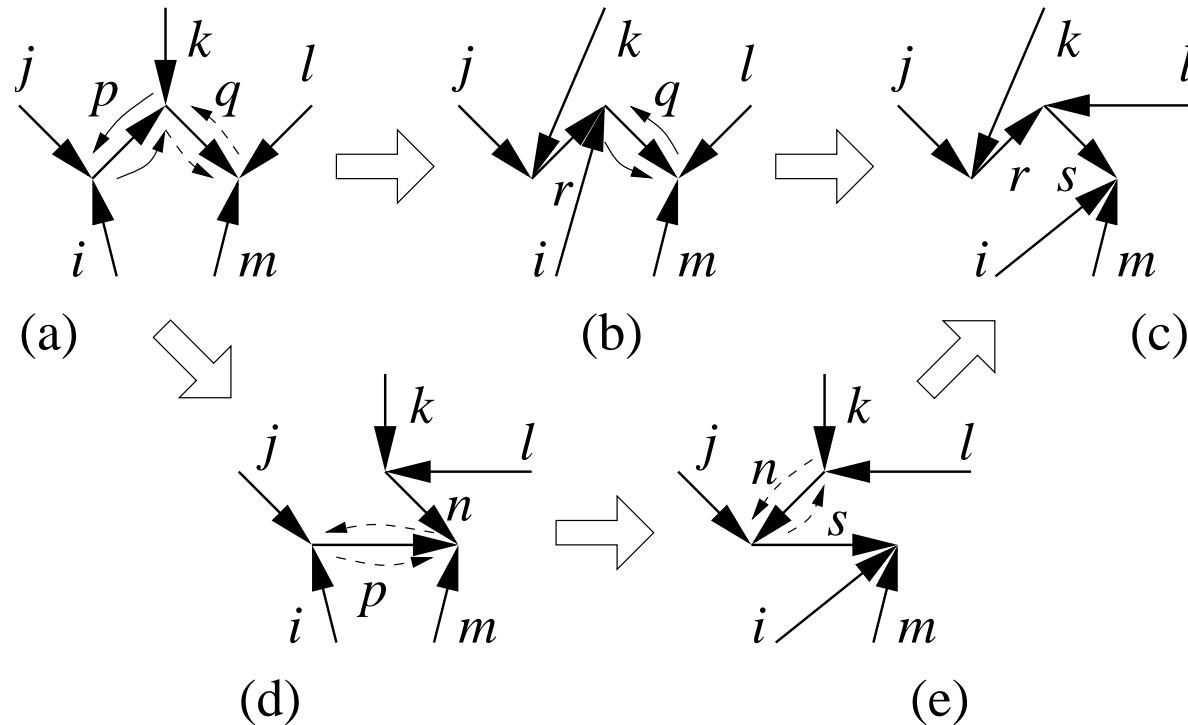
- Types of strings: $1, 2, \dots, N$. 0 represents no string.
- Branching rule: Kogut & Susskind 75
 $\delta_{ijk} = 1 \rightarrow (ijk)$ branching is allowed in ground state.
 $\delta_{ijk} = 0 \rightarrow (ijk)$ branching is not allowed in ground state.
- Topological: $\Phi(X) = \Phi(X')$ if two string-nets X and X' has the same topology. Freedman etal 03
- Rebranching relation and 6j-symbol:

$$\Phi \left(\text{Diagram 1} \right) = \sum_{n=0}^N F_{kln}^{ijm} \Phi \left(\text{Diagram 2} \right)$$

Topological string-net condensation is described by a set of data
 $(N, \delta_{ijk}, F_{kln}^{ijm})$

- Not all sets $(N, \delta_{ijk}, F_{klm}^{ijm})$ describe consistent string-net condensation.

Moore & Seiberg 89



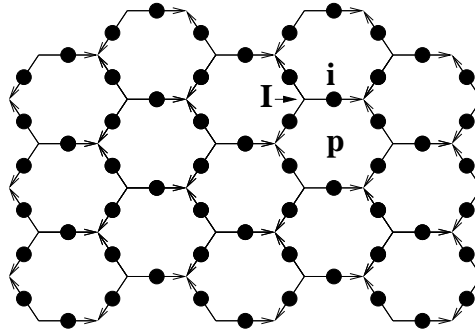
- Pentagon identity

$$\sum_n F_{kp^*n}^{mlq} F_{mns^*}^{jip} F_{lkr^*}^{js^*n} = F_{q^*kr^*}^{jip} F_{mls^*}^{riq^*}$$

- The solutions of the above non-linear equations (called tensor categories) describe all the string-net condensed state.

- All string-net condensed states characterized by $(N, \delta_{ijk}, F_{klm}^{ijn})$ can be realized by exactly soluble lattice models with 12 spin interactions.
- The low energy effective theories are topological theories, and almost all the topological theories can be realized this way.
- The 6-j symbol of a group G , satisfy the pentagon identity.
The fluctuations of the corresponding string-net condensation
→ gauge boson with gauge group G .
- The 6-j symbol of a quantum group \hat{G} , satisfy the pentagon identity.
The corresponding string-net condensation
→ doubled Chern-Simons theory.

- Spins on Kagome lattice: $L_i = 0, 1, 2, \dots$
- No string state = $|L_i = 0\rangle$. Type- s string: string of $L_i = s$ spins
- Exactly soluble Hamiltonian is obtained from the data $(N, \delta_{ijk}, F_{klm}^{ijm})$



$$H_{\text{strnet}} = g \sum_{\mathbf{p}} (1 - B_{\mathbf{p}}) + U \sum_{\mathbf{I}} (1 - Q_{\mathbf{I}}), \quad B_{\mathbf{p}} = \sum_{s=0}^N a_s B_{\mathbf{p}}^s$$

$$Q_{\mathbf{I}} \left| \begin{array}{ccc} & \circ & c \\ & \nearrow & \searrow \\ a & & b \\ & \nwarrow & \nearrow \\ & \circ & \end{array} \right\rangle = \delta_{abc} \left| \begin{array}{ccc} & \circ & c \\ & \nearrow & \searrow \\ a & & b \\ & \nwarrow & \nearrow \\ & \circ & \end{array} \right\rangle$$

$$B_{\mathbf{p}}^s \left| \begin{array}{ccccc} & b & h & c & \\ & \nearrow & \nearrow & \searrow & \\ a & g & i & d & \\ & \nwarrow & \nwarrow & \nearrow & \\ & l & j & & \\ & \nwarrow & \nwarrow & \nearrow & \\ & f & k & e & \end{array} \right\rangle = \sum_{m, \dots, r} B_{\mathbf{p}, g' h' i' j' k' l'}^{s, ghijkl} (abcdef) \left| \begin{array}{ccccc} & b & h' & c & \\ & \nearrow & \nearrow & \searrow & \\ a & g' & i' & d & \\ & \nwarrow & \nwarrow & \nearrow & \\ & l' & j' & & \\ & \nwarrow & \nwarrow & \nearrow & \\ & f & k' & e & \end{array} \right\rangle$$

$$B_{p,g'h'i'j'k'l'}^{s,ghijkl}(abcdef) = F_{s^*h'g'^*}^{bg^*h} F_{s^*i'h'^*}^{ch^*i} F_{s^*j'i'^*}^{di^*j} F_{s^*k'j'^*}^{ej^*k} F_{s^*l'k'^*}^{fk^*l} F_{s^*g'l'^*}^{al^*g}$$

- B_p^s create a small loop of type- s string around hexagon p

$$B_p^s \left| \begin{array}{c} b \quad h \quad c \\ \swarrow \quad \downarrow \quad \searrow \\ a \quad g \quad i \quad d \\ \swarrow \quad \downarrow \quad \searrow \\ l \quad j \quad k \quad e \\ f \end{array} \right\rangle = \left| \begin{array}{c} b \quad h \quad c \\ \swarrow \quad \downarrow \quad \searrow \\ a \quad g \quad s \quad d \\ \swarrow \quad \downarrow \quad \searrow \\ l \quad j \quad k \quad e \\ f \end{array} \right\rangle$$

$$= \sum_{g'h'i'j'k'l'} F_{s^*h'g'^*}^{bg^*h} F_{s^*i'h'^*}^{ch^*i} F_{s^*j'i'^*}^{di^*j} F_{s^*k'j'^*}^{ej^*k} F_{s^*l'k'^*}^{fk^*l} F_{s^*g'l'^*}^{al^*g} \left| \begin{array}{c} b \quad h' \quad c \\ \swarrow \quad \downarrow \quad \searrow \\ a \quad g' \quad i' \quad d \\ \swarrow \quad \downarrow \quad \searrow \\ l' \quad j' \quad k' \quad e \\ f \end{array} \right\rangle$$

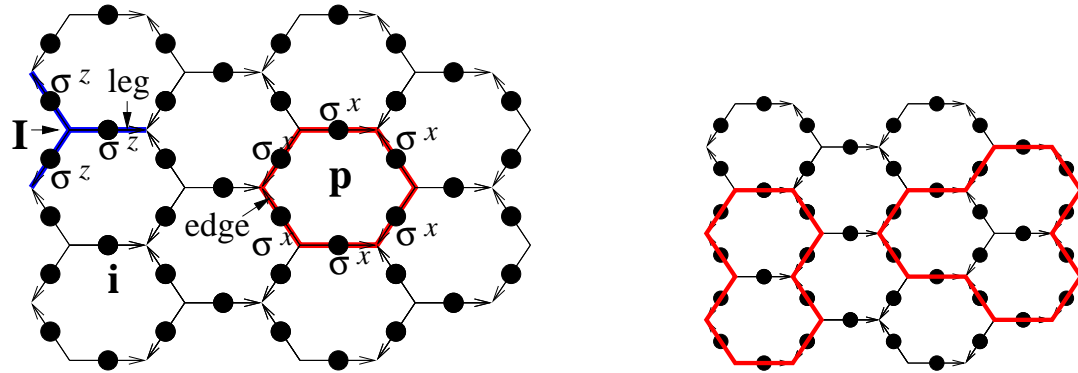
- $(\sum_s a^s B_p^s, Q_I)$ is a commuting set of operators. H_{strnet} is exactly soluble.
- B_p^s term generates string hopping. Q_I term enforce the branching rule in ground state.

Some examples – from the solutions of the pentagon identity

Z_2 gauge theory

- $N = 1$, $\delta_{000} = \delta_{110} = 1, \delta_{100} = 0$ (only closed strings), F_{klm}^{ijn} leads to

$$\Phi \left(\text{grey rectangle} \text{ with a red square inside} \right) = \Phi \left(\text{grey rectangle} \right), \quad \Phi \left(\text{grey rectangle} \text{ with red triangles} \right) = \Phi \left(\text{grey rectangle with red lines} \right)$$



- The Hamiltonian

$$H_{\text{strnet}} = g \sum_{\text{p edges of p}} \prod \sigma_i^x + U \sum_{\text{I legs of I}} \prod \sigma_i^z$$

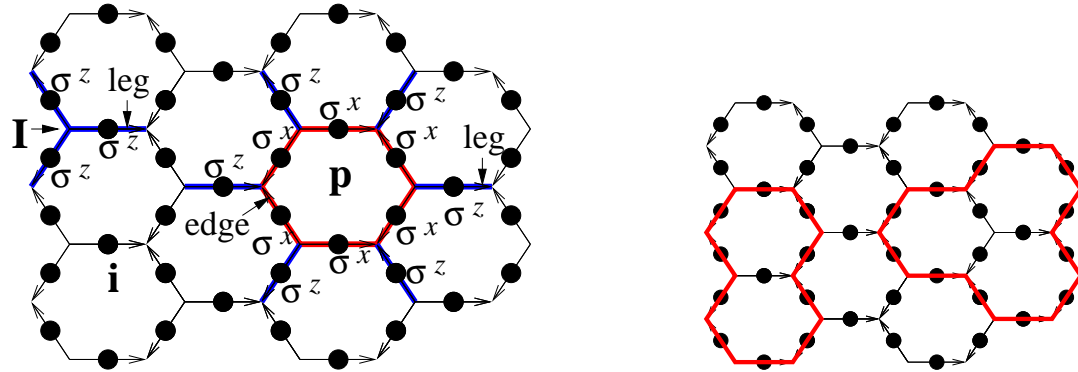
- Ground state wave function $\Phi(X) = \text{const.}$
- Effective theory: Z_2 gauge theory = $U(1) \times U(1)$ Chern-Simons theory

$$L = \frac{1}{4\pi} K_{IJ} a_{I\mu} \partial_\nu a_{J\lambda} \epsilon^{\mu\nu\lambda}, \quad K = \begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix}$$

Doubled semion theory

- $N = 1$, $\delta_{000} = \delta_{110} = 1, \delta_{100} = 0$ (only closed strings), F_{klm}^{ijn} leads to

$$\Phi \left(\text{grey rectangle} \text{ with a white circle inside} \right) = - \Phi \left(\text{grey rectangle} \right), \quad \Phi \left(\text{grey rectangle} \text{ with a white triangle pointing right} \right) = - \Phi \left(\text{grey rectangle with a white triangle pointing left} \right)$$



- The Hamiltonian

$$H_{\text{strnet}} = - \sum_{\mathbf{I}} \prod_{\text{legs of } \mathbf{I}} \sigma_i^z + \sum_{\mathbf{p}} \left(\prod_{\text{edges of } \mathbf{p}} \sigma_j^x \right) \left(\prod_{\text{legs of } \mathbf{p}} (-)^{\frac{1-\sigma_j^z}{4}} \right)$$

- Ground state wave function $\Phi(X) = (-)^{X_c}$, where X_c is the number of loops in the string configuration X
- Effective theory: $U(1) \times U(1)$ Chern-Simons theory

$$L = \frac{1}{4\pi} K_{IJ} a_{I\mu} \partial_\nu a_{J\lambda} \epsilon^{\mu\nu\lambda}, \quad K = \begin{pmatrix} 2 & 0 \\ 0 & -2 \end{pmatrix}$$

- Ends of open strings \rightarrow Semions with $\theta = \pm\pi/2$

Doubled Yang-Lee theory

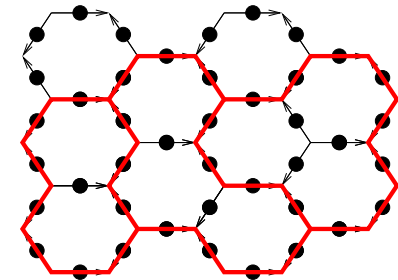
- $N = 1$, $\delta_{000} = \delta_{110} = \delta_{111} = 1, \delta_{100} = 0$ (branched string-nets),
 F_{kln}^{ijm} leads to

$$\Phi \left(\text{grey rectangle} \square \right) = \gamma \cdot \Phi \left(\text{grey rectangle} \right)$$

$$\Phi \left(\text{grey rectangle} \triangleright \triangleleft \text{grey rectangle} \right) = \gamma^{-1} \cdot \Phi \left(\text{grey rectangle} \text{---} \text{grey rectangle} \right) + \gamma^{-1/2} \cdot \Phi \left(\text{grey rectangle} \text{---} \text{grey rectangle} \right)$$

$$\Phi \left(\text{grey rectangle} \triangleright \text{---} \triangleleft \text{grey rectangle} \right) = \gamma^{-1/2} \cdot \Phi \left(\text{grey rectangle} \triangleright \triangleleft \text{grey rectangle} \right) - \gamma^{-1} \cdot \Phi \left(\text{grey rectangle} \text{---} \text{grey rectangle} \right)$$

where $\gamma = \frac{1+\sqrt{5}}{2}$



- Ground state has a string-net condensation
- Effective theory: $SO_3(3) \times SO_3(3)$ Chern-Simons theory
- Ends of open strings \rightarrow particles with non-Abelian statistics